



Endorsed Pre-LOUs of the Interim Global Legal Entity Identifier System (GLEIS)

| Date of endorsement | Pre-LOU | Sponsor | Prefix | Endorsement note | Pre-LOU website |
|---------------------|---|--|--------|---|---|
| 3 October 2013 | WM Datenservice | Bundesanstalt für Finanzdienstleistungsauf sicht | 5299 | http://www.leiroc.org/publications/gls/lou_20131003.pdf | https://www.geiportal.org |
| 3 October 2013 | Institut National de la Statistique et des Etudes Economiques | French Ministry for Economy and Finance | 9695 | http://www.leiroc.org/publications/gls/lou_20131003.pdf | https://lei-france.insee.fr |
| 3 October 2013 | CICI utility ¹ | U.S. Commodity Futures Trading Commission | 5493 | http://www.leiroc.org/publications/gls/lou_20131003.pdf | https://www.ciciutility.org |
| 11 November 2013 | Takasbank | Capital Markets Board of Turkey | 7890 | http://www.leiroc.org/publications/gls/lou_20131111.pdf | http://www.takasbank.com.tr/en/Pages/LEI.aspx |
| 11 November 2013 | London Stock Exchange | UK Financial Conduct Authority | 2138 | http://www.leiroc.org/publications/gls/lou_20131111.pdf | http://www.lseg.com/LEI |
| 7 December 2013 | Irish Stock Exchange | Central Bank of Ireland | 6354 | http://www.leiroc.org/publications/gls/lou_20131207.pdf | https://www.isedirect.ie |
| 27 December 2013 | Russia National Settlement Depository (NSD) | Central Bank of Russian Federation | 2534 | http://www.leiroc.org/publications/gls/lou_20131227.pdf | https://www.nsd.ru/en/services/lei |
| 27 December 2013 | Poland Krajowy Depozyt Papierów | Polish Financial Supervisory Authority | 2594 | http://www.leiroc.org/publications/gls/lou_20131227.pdf | http://www.kdpw.pl/en/business/LEI/Pages/default.aspx |

¹ a set of approximately 3,000 codes that are not certified but that have been used for CFTC reporting purposes (*Uncertified Used CICIs*, identified as 'NOT CURRENT' in the CICI Utility database); these codes are not globally recognized.



| | | | | | |
|--|------------------------------|--|--|--|--|
| | Wartościowych S.A. (KDPW) | | | | |
|--|------------------------------|--|--|--|--|

Four Digit Prefixes allocated to sponsored Pre- LOUs²
http://www.leiroc.org/publications/gls/lou_20130318.pdf

| Date of issuance | Sponsor | Pre-LOU | Prefix | Operational status |
|------------------|---|--------------------------------|--------|--------------------|
| 8 November 2012 | U.S. Commodity Futures Trading Commission | DTCC/SWIFT CICI | 5493 | Operational |
| 20 November 2012 | Bundesanstalt für Finanzdienstleistungsaufsicht | WM Datenservice | 5299 | Operational |
| 20 December 2012 | Central Bank of Ireland | Irish Stock Exchange | 6354 | Operational |
| 20 December 2012 | Palestine Capital Market Authority | Palestine Securities Exchange | 1392 | |
| 11 January 2013 | Capital Markets Board of Turkey | Takasbank | 7890 | Operational |
| 1 March 2013 | Central Bank of Russian Federation (Federal Financial Markets Services, Russia) | National Settlement Depository | 2534 | Operational |
| 18 March | French Ministry for Economy | Institut National | 9695 | Operational |

² All pre-LOUs issuing codes are required to comply with all the principles to be observed by Pre-LOUs that have been specified by the ROC and the FSB. For the purpose of the Uniqueness and Exclusivity check, all operational pre-LOUs must perform Uniqueness and Exclusivity checks against all pre-LEIs issued by operational pre-LOUs as indicated in Table above.

| Date of issuance | Sponsor | Pre-LOU | Prefix | Operational status |
|------------------|--|--|--------|--------------------|
| 2013 | and Finance | de la Statistique et des Etudes Economiques | | |
| 6 June 2013 | UK Financial Conduct Authority | London Stock Exchange | 2138 | Operational |
| 14 June 2013 | Netherlands Authority for the Financial Markets | Dutch Chamber of Commerce | 7245 | Operational |
| 12 August 2013 | People's Bank of China | China Financial Standardization Technical Committee | 3003 | |
| 14 August 2013 | Central Bank of Argentina | Central Bank of Argentina | 5791 | |
| 19 August 2013 | Polish Financial Supervisory Authority | Krajowy Depozyt Papierów Wartościowych S.A. | 2594 | Operational |
| 23 August 2013 | Banca d'Italia and Commissione Nazionale per le Società e la Borsa | Unione Italiana per le Camere di Commercio, Industria, Artigianato e Agricoltura | 8156 | Operational |
| 8 October 2013 | Financial Supervisory Authority, Finland | National Board for Patents and Registration (PRH) | 7437 | |

| Date of issuance | Sponsor | Pre-LOU | Prefix | Operational status |
|------------------|---|---|--------|--------------------|
| 9 November 2013 | Bundesanstalt für Finanzdienstleistungsaufsicht | Bundesanzeiger Verlag GmbH (Bundesanzeiger) | 3912 | |
| 23 November 2013 | Banco de España | Registro Mercantil del Reino de España | 9598 | |
| 27 November 2013 | Czech National Bank | Centrální depozitář cenných papírů, a.s. | 3157 | Operational |