

## DECLARATION OF BENEFICIAL OWNER

regarding the identification of beneficial owners<sup>1</sup> as stipulated by Act LIII of 2017 on the Prevention and Hindrance of Money Laundering and the Financing of Terrorism

### FOR LEGAL PERSONS OR BUSINESS ASSOCIATIONS WITHOUT A LEGAL ENTITY

I, the undersigned

Family name and given name: .....

Place and date of birth:.....

Mother's birth name:.....

**in my capacity as representative of the following entity**

name of entity:.....

registered address: .....

corporate registry number (or other registration number):.....

shall declare in the awareness of my criminal liability to **Takarékbank Zrt.** (registered seat: 1122 Budapest, Pethényi köz 10.); hereinafter: **Company**) that the beneficial owner(s) of the legal person or the business association without a legal entity that I represent is/are the following natural person(s) (based on Section 8 Sub-section (2) bekezdése the following are mandatory fields):

<p><b>1</b></p> <p>Family name and given name:</p> <p>Family name and given name at birth, if different from the above name:</p> <p>Nationality:</p> <p>Place of birth:                      Date of birth:</p> <p>Permanent address, if there is no, place of stay:</p> <p>Type of ownership relation:</p> <p>Proportion of ownership relation:</p> <p>Is the beneficial owner qualified a Politically Exposed Person (PEP), is a close relative of a Politically Exposed Person or has a close relation to a Politically Exposed Person?<sup>2</sup> no <input type="checkbox"/> yes <input type="checkbox"/></p>	<p><b>2</b></p> <p>Family name and given name:</p> <p>Family name and given name at birth, if different from the above name:</p> <p>Nationality:</p> <p>Place of birth:                      Születési ideje:</p> <p>Permanent address, if there is no, place of stay:</p> <p>Type of ownership relation:</p> <p>Proportion of ownership relation:</p> <p>Is the beneficial owner qualified a Politically Exposed Person (PEP), is a close relative of a Politically Exposed Person or has a close relation to a Politically Exposed Person?<sup>2</sup> no <input type="checkbox"/> yes <input type="checkbox"/></p>
<p><b>3</b></p> <p>Family name and given name:</p> <p>Family name and given name at birth, if different from the above name:</p> <p>Nationality:</p> <p>Place of birth:                      Date of birth:</p> <p>Permanent address, if there is no, place of stay:</p> <p>Type of ownership relation:</p> <p>Proportion of ownership relation:</p> <p>Is the beneficial owner qualified a Politically Exposed Person (PEP), is a close relative of a Politically Exposed Person or has a close relation to a Politically Exposed Person?<sup>2</sup> no <input type="checkbox"/> yes <input type="checkbox"/></p>	<p><b>4</b></p> <p>Family name and given name:</p> <p>Family name and given name at birth, if different from the above name:</p> <p>Nationality:</p> <p>Place of birth:                      Date of birth:</p> <p>Permanent address, if there is no, place of stay:</p> <p>Type of ownership relation:</p> <p>Proportion of ownership relation:</p> <p>Is the beneficial owner qualified a Politically Exposed Person (PEP), is a close relative of a Politically Exposed Person or has a close relation to a Politically Exposed Person?<sup>2</sup> no <input type="checkbox"/> yes <input type="checkbox"/></p>

This declaration shall be considered to be accurate as of the date it is completed.

The Customer shall acknowledge that any change of personal data or the data provided above shall be reported to the Company within 5 (five) working days. The Customer shall be liable for any damage resulting from the failure to meet this reporting requirement. If any doubt arises in connection with the beneficial owner, the Company may request a repeated declaration, in this case the Customer shall agree to provide the Company with the requested declaration in the form, with the content and at the deadline as specified by the Company.

Dated .....20.....

.....  
Signature of representative

I checked the client's statement, formally ok. (Yes or No)

.....  
signature of administrator

The Beneficial owner(s) is not listed on international blacklist.

.....  
signature of administrator

<sup>1</sup> See the Instructions for the definition of Beneficial Owner.

<sup>2</sup> Should the Beneficial Owner be qualified a Politically Exposed Person, please fill in the declaration on the status of Politically Exposed Person.

## Instructions

### **Beneficial owner shall mean (as stipulated by Paragraph 3 Section (38) of Act LIII of 2017):**

- a. the natural person who owns or controls at least twenty-five per cent of the shares or voting rights in a legal person or a business association without a legal entity directly or by way of the means defined in Paragraph 8:2. Section (4) of the Act on the Civil Code (hereinafter referred to as: Civil Code) indirectly, or exercises effective control over the legal person or a business association without a legal entity in any other way, if that legal person or business association without a legal entity is not listed on a regulated market and is subject to disclosure requirements consistent with Community legislation or subject to equivalent international standards,
- b. the natural person who has a dominant influence in a legal person or a business association without a legal entity as defined in Paragraph 8:2. Section (2) of the Act on the Civil Code,
- c. the natural person on whose behalf a transaction is carried out, or who exercises effective control over the activities of the natural person customer in any other way,
- d. in the case of foundations:
  - o where the future beneficiaries have already been determined, the natural person(s) who is the beneficiary of twenty-five per cent or more of the property of the foundation,
  - o where the individuals that benefit from the foundation have yet to be determined, the class of natural persons in whose main interest the foundation is set up or operates, or
  - o natural person(s) who exercises control in the management of the foundation or exercises control over twenty-five per cent of the property of a foundation, or who is authorized to represent the foundation
- e. in the case of a trustee asset management contract
  - o the trustee or its beneficial owners as specified in point a) or b),
  - o the asset manager or its beneficial owners as specified in point a) or b),
  - o the beneficiary or the group of beneficiaries or its beneficial owners as specified in point a) or b), and
  - o the natural person who exercises control over the managed assets in any other way, and
- f. in the absence of the natural person referred to above, the executive officer of the legal person or the business association without a legal entity.\*

**\*If, in accordance with Paragraph 3 Section (38) item f of Act LIII of 2017, the beneficial owner of the legal person or the business association without a legal entity making this declaration are to be considered the executive officers of the organization, all of the executive officers shall be listed on the Declaration of Beneficial Owner. Should the organization have more than four executive officers, additional Declarations of Beneficial Owner shall be completed as needed.**

### **Exercising control (dominant influence)**

In accordance with Section 8:2. (2) of the Civil Code:

The holder of a participating interest is deemed to have dominant influence on a legal person if it is a member of or shareholder in that company and:

- a) it has the right to appoint and recall the majority of the executive officers or supervisory board members of the legal person; or
- b) other members of or shareholder in that legal person are committed under agreement with the holder of a participating interest to vote in concert with the holder of a participating interest, or they exercise their voting rights through the holder of a participating interest, provided that together they control more than half of the votes.

### **Executive officer**

Any person defined as such in Book Three of the Civil Code.

**Politically Exposed Person (PEP)** means any natural person who is or has been entrusted with prominent public functions within one year before the implementation of customer due diligence measures.

**Close relative is the spouse or partner of the Politically Exposed Person**, the blood children, adopted children, step-children and foster children and the spouses or partners thereof, blood parents, adopting parents, step-parents and foster parents.

### **Persons who have a close relation to the Politically Exposed Person**, are:

- a) any natural person who is a beneficial owner of a legal person or business association without legal personality with the Politically Exposed Person or has close business relations to it;
- b) any natural person who has sole beneficial ownership of a legal person or the business association without legal personality that was established on behalf of the Politically Exposed Person.

### **Persons who are or have been entrusted with prominent public functions:**

- a) the head of state, head of government, minister and assistant minister, state secretary, in Hungary the head of state, t, minister, state secretary
- b) the member of Parliament and the member of a similar legal organ, in Hungary the members of Parliament and spokesmen for the nationality,
- c) members of the governing organ of the political party, in Hungary members and officers of the leading organ of the political party,
- d) the Supreme Court, members of the Constitutional Court or of other high-level judicial bodies whose decisions are not subject to further appeal, in Hungary the Constitutional Court, members of the High Court and the Curia,
- e) Members of the Board of Directors of the Court of Auditors and the Central Bank, in Hungary the Chairman and the Deputy Chairman of the Court of Auditors, the members of the Monetary Council and the Financial Stability Council,
- f) ambassadors, chargés d'affaires and high-ranking officers of the Armed Forces, in Hungary the head and deputy-head of the central organ of law enforcement agencies as well as the Chief of the Armed Forces of the Republic of Hungary and its deputies,
- g) members of the administrative, management or supervisory bodies of companies under majority state ownership, in Hungary the Managing Director of companies under majority state ownership, the member of the managing body of companies under majority state ownership the head, the deputy-head or the member of the managing body of international organisations,
- ~~h) the head and deputy head of international organisations, the members of the senior body of international organisations.~~

## DECLARATION OF BENEFICIAL OWNER

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### FOR SOLE PROPRIETORS<sup>3</sup>

I, the undersigned

Family name and given name: .....

Place and date of birth:.....

Mother's birth name:.....

Type and number of identification document:.....

Number of sole proprietor's license/registration number (for private entrepreneurs):.....

Number of identification document of individual engaged in other business activities\*: .....

shall declare in the awareness of my criminal liability to **Takarékbank Zrt.** (registered seat: 1122 Budapest, Pethényi köz 10.); hereinafter: **Company**) that when initiating a transaction with the Company I act on behalf of the following natural person(s) as beneficial owner(s) (based on Section 8 Sub-section (2) the following are mandatory fields):

<p><b>1</b> Family name and given name:</p> <p>Family name and given name at birth:</p> <p>Nationality:</p> <p>Place of birth:                      Date of birth:</p> <p>Permanent address, if there is no, place of stay:</p> <p>Is the beneficial owner qualified a Politically Exposed Person (PEP), is a close relative of a Politically Exposed Person or has a close relation to a Politically Exposed Person?<sup>2</sup></p> <p>no <input type="checkbox"/>    yes <input type="checkbox"/></p>	<p><b>2</b> Family name and given name:</p> <p>Family name and given name at birth:</p> <p>Nationality:</p> <p>Place of birth:                      Date of birth:</p> <p>Permanent address, if there is no, place of stay:</p> <p>Is the beneficial owner qualified a Politically Exposed Person (PEP), is a close relative of a Politically Exposed Person or has a close relation to a Politically Exposed Person?<sup>2</sup></p> <p>no <input type="checkbox"/>    yes <input type="checkbox"/></p>
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This declaration shall be considered to be accurate as of the date it is completed.

The Customer shall acknowledge that any change of personal data or the data provided above shall be reported to the Company within 5 (five) working days. The Customer shall be liable for any damage resulting from the failure to meet this reporting requirement. If any doubt arises in connection with the beneficial owner, the Company may request a repeated declaration, in this case the Customer shall agree to provide the Company with the requested declaration in the form, with the content and at the deadline as specified by the Company.

Dated .....20.....

.....  
Signature of Customer

I checked the client's statement, formally ok. (Yes or No)

.....  
signature of administrator

The Beneficial owner(s) is not listed on international blacklist.

.....  
signature of administrator

<sup>1</sup> See the Instructions for the definition of Beneficial Owner.

<sup>2</sup> Persons engaged in business activities as private individuals as stipulated by Act CXV of 2009 (including private entrepreneurs who have requested corporate registration as a sole proprietorship), persons acting as a private attorney at law as stipulated by Act XI of 1998, persons acting as a notary as stipulated by Act XLI of 1991, judicial enforcers as stipulated by Act LIII of 1994, agricultural primary producers and private individuals with a tax number.

<sup>3</sup> Should the Beneficial Owner be qualified a Politically Exposed Person, please fill in the declaration on the status of Politically Exposed Person.

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- a. the natural person who owns or controls at least twenty-five per cent of the shares or voting rights in a legal person or a business association without a legal entity directly or by way of the means defined in Paragraph 8:2. Section (4) of the Act on the Civil Code (hereinafter referred to as: Civil Code) indirectly, or exercises effective control over the legal person or a business association without a legal entity in any other way, if that legal person or business association without a legal entity is not listed on a regulated market and is subject to disclosure requirements consistent with Community legislation or subject to equivalent international standards,
- b. the natural person who has a dominant influence in a legal person or a business association without a legal entity as defined in Paragraph 8:2. Section (2) of the Act on the Civil Code,
- c. the natural person on whose behalf a transaction is carried out, or who exercises effective control over the activities of the natural person customer in any other way,
- d. in the case of foundations:
  - o where the future beneficiaries have already been determined, the natural person(s) who is the beneficiary of twenty-five per cent or more of the property of the foundation,
  - o where the individuals that benefit from the foundation have yet to be determined, the class of natural persons in whose main interest the foundation is set up or operates, or
  - o natural person(s) who exercises control in the management of the foundation or exercises control over twenty-five per cent of the property of a foundation, or who is authorized to represent the foundation
- e. in the case of a trustee asset management contract
  - o the trustee or its beneficial owners as specified in point a) or b),
  - o the asset manager or its beneficial owners as specified in point a) or b),
  - o the beneficiary or the group of beneficiaries or its beneficial owners as specified in point a) or b), and
  - o the natural person who exercises control over the managed assets in any other way, and
- f. in the absence of the natural person referred to above, the executive officer of the legal person or the business association without a legal entity.\*

**\*If, in accordance with Paragraph 3 Section (38) item f of Act LIII of 2017, the beneficial owner of the legal person or the business association without a legal entity making this declaration are to be considered the executive officers of the organization, all of the executive officers shall be listed on the Declaration of Beneficial Owner. Should the organization have more than four executive officers, additional Declarations of Beneficial Owner shall be completed as needed.**

### **Exercising control (dominant influence)**

In accordance with Section 8:2. (2) of the Civil Code:

The holder of a participating interest is deemed to have dominant influence on a legal person if it is a member of or shareholder in that company and:

- a) it has the right to appoint and recall the majority of the executive officers or supervisory board members of the legal person; or
- b) other members of or shareholder in that legal person are committed under agreement with the holder of a participating interest to vote in concert with the holder of a participating interest, or they exercise their voting rights through the holder of a participating interest, provided that together they control more than half of the votes.

### **Executive officer**

Any person defined as such in Book Three of the Civil Code.

**Politically Exposed Person (PEP)** means any natural person who is or has been entrusted with prominent public functions within one year before the implementation of customer due diligence measures.

**Close relative is the spouse or partner of the Politically Exposed Person**, the blood children, adopted children, step-children and foster children and the spouses or partners thereof, blood parents, adopting parents, step-parents and foster parents.

### **Persons who have a close relation to the Politically Exposed Person**, are:

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- b) any natural person who has sole beneficial ownership of a legal person or the business association without legal personality that was established on behalf of the Politically Exposed Person.

### **Persons who are or have been entrusted with prominent public functions:**

- a) the head of state, head of government, minister and assistant minister, state secretary, in Hungary the head of state, t, minister, state secretary
- b) the member of Parliament and the member of a similar legal organ, in Hungary the members of Parliament and spokesmen for the nationality,
- c) members of the governing organ of the political party, in Hungary members and officers of the leading organ of the political party,
- d) the Supreme Court, members of the Constitutional Court or of other high-level judicial bodies whose decisions are not subject to further appeal, in Hungary the Constitutional Court, members of the High Court and the Curia,
- e) Members of the Board of Directors of the Court of Auditors and the Central Bank, in Hungary the Chairman and the Deputy Chairman of the Court of Auditors, the members of the Monetary Council and the Financial Stability Council,
- f) ambassadors, chargés d'affaires and high-ranking officers of the Armed Forces, in Hungary the head and deputy-head of the central organ of law enforcement agencies as well as the Chief of the Armed Forces of the Republic of Hungary and its deputies,
- g) members of the administrative, management or supervisory bodies of companies under majority state ownership, in Hungary the Managing Director of companies under majority state ownership, the member of the managing body of companies under majority state ownership the head, the deputy-head or the member of the managing body of international organisations,
- ~~h) the head and deputy head of international organisations, the members of the senior body of international organisations.~~